PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Christopher J. Edge;

Customer No.:

28863

Timothy A. Fischer; William A. Rozzi

Patent No.:

6,362,808

Docket No.:

1037-044US05

Filed:

August 12, 1997

Title:

ARRANGEMENT FOR MAPPING COLORS BETWEEN IMAGING

SYSTEMS AND METHODS THEREFOR

CERTIFICATE UNDER 37 CFR 1.00 I hereby certify that this correspondence is being deposited with U.S. first at Service Addressed to the United States Patent and Trademark Office on January 2004. Express Mail No. EV 395707 568US.

"Express MAIL Post office to Addressee service under 37 CFR 1.10 on the date indicated and is

POWER OF ATTORNEY BY ASSIGNEE

Commissioner for Patents Alexandria, VA 22313-1450

Dear Sir:

This Power of Attorney accompanies a Reissue Application. Kodak Polychrome Graphics, LLC ("Assignee"), having a place of business at 401 Merritt 7, Norwalk, Connecticut, 06851, states that it is the Assignee of the entire right, title, and interest in the application/patent identified above and represents that the undersigned is a representative authorized and empowered to sign on behalf of the Assignee. A statement under 37 C.F.R. 3.73(b) is filed herewith.

Assignee hereby appoints the attorneys and/or patent agents at the following Customer Number to prosecute this application and to transact all business in the U.S. Patent and Trademark Office in connection with the above-identified application:

28863 28863

PATENT TRADEMARK OFFICE

Shumaker & Sieffert, P.A. 8425 Seasons Parkway, Suite 105 St. Paul, Minnesota 55125 (651) 735-1100

Please direct all future correspondence in this application to Customer Number 28863.

Assignee of Interest: Kodak Polychrome Graphics, LLC

By: Xue Ia Du Name: Amelia Buharin

Title: Assistant Secretary

Address of Assignee of Interest:

401 Merritt 7 Norwalk, CT 06851

PTO/SB/53 (05-03)
Approved for use through 01/31/2004, OMB 0651-0033
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DELOCATE ARRIVATION CONCENT OF ACCIONES.	Docket Number (Optional)	
REISSUE APPLICATION: CONSENT OF ASSIGNEE; STATEMENT OF NON-ASSIGNMENT	1037-044US05	
This is part of the application for a reissue patent based on the original	nal patent identified below.	
Name of Patentee(s)		
Christopher J. Edge, Timothy A. Fischer		
Patent Number 6, 362, 808	Date Patent Issued March 26, 2002	
Title of Invention ARRANGEMENT FOR MAPPING COLOR AND METHODS THEREFOR	RS BETWEEN IMAGING SYSTEMS	
1. X Filed herein is a statement under 37 CFR 3.73(b). (Form PTO/SB/96)	
2. Ownership of the patent is in the inventor(s), and no	assignment of the patent is in effect.	
One of boxes 1 or 2 above must be checked. If multiple assignees	s, complete this form for each assignee. If	
box 2 is checked, skip the next entry and go directly to "Name of A	Assignee".	
The written consent of all assignees and inventors owning an und	livided interest in the original	
patent is included in this application for reissue.		
The assignee(s) owning an undivided interest in said original pate	entis/areKODAK POLYCHROME GRAPHICS LI	
and the assignee(s) consents to the accompanying application for	r reissue.	
Name of assignee/inventor (if not assigned)		
Signature Sulfa Bullan	Gamman 8, 2004	
Typed or printed name and title of person signing for assignee (if assigned)		
Amelia Buharin Assistant Secretary		
vestarant perietari		

This collection of information is required by 37 CFR 1.172. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form anti/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTC/SB/96 (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Tradement Office; U.S. DEPARTMENT OF COMMERCE
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STATEMENT UNDER 37 CFR 3.73(b)		
Applicant/Patent Owner Kodak Polychrome Graphics LLC		
Application No./Patent No.: 6,362,808 Filed/Issue Date: March 26, 2002		
Entitled: ARRANGEMENT FOR MAPPING COLORS BETWEEN IMAGING SYSTEMS AND METHODS THEREFOR		
Kodak Polychrome Graphics LLO limited liability corporation (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)		
states that it is: 1. The assignee of the entire right, title, and interest; or		
2. an assignee of less than the entire right, title and interest. The extent (by percentage) of its ownership interest is% in the patent application/patent identified above by virtue of either:		
A. [] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.		
OR		
B. [* A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:		
1. From: Christopher J. Edge et al. 3M Company The document was recorded in the United States Patent and Trademark Office at Reel 9660 , Frame 0952 , or for which a copy thereof is attached.		
2. From: 3M Company To: 3M Innovative Properties Company The document was recorded in the United States Patent and Trademark Office at Reel 014128 Frame 0142 or for which a copy thereof is attached.		
3M Innovative Properties Imation Corp. The document was recorded in the United States Patent and Trademark Office at		
Reel <u>014128</u> , Frame <u>0146</u> , or for which a copy thereof is attached. [X] Additional documents in the chain of title are listed on a supplemental sheet.		
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]		
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.		
Date Amelia Buharin Typed or printed name		
103-4405 Xuela (Aman		
Telephone number Signature Assistant Secretary		
Title		

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiatily is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application from to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Peternt and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

STATEMENT UNDER 37 C.F.R. 3.73(b) - SUPPLEMENTAL SHEET

Continuation of Chain of Title:

4. From: Imation Corp. To: Kodak Polychrome Graphics LLC
The document was recorded in the United States Patent and Trademark Office at
Reel 014128, Frame 0144.

APRIL 10, 1999

PTAS .

UNITED STA S DEPARTMENT OF COMMERCE ASSISTANT SECRETARY AND COMMISSIONER 1 9 1999 Washington, D.C. 20231



IMATION CORP. WILLIAM D. BAÜER LEGAL AFFAIRS P.O. BOX 64893 ST. PAUL, MN 55164-0398

3M-IMATION

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RECORDATION DATE: 12/18/1998

REEL/FRAME: 9660/0952

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

EDGE, CHRISTOPHER J.

DOC DATE: 12/19/1997

ASSIGNOR:

FISCHER, TIMOTHY A.

DOC DATE: 12/19/1997

ASSIGNOR:

ROZZI, WILLIAM A.

DOC DATE: 12/19/1997

ASSIGNEE:

MINNESCTA MINING AND MANUFACTURING

CO.

3M CENTER

P.O. BOX 33427

ST. PAUL, MINNESOTA 55133-3427

SERIAL NUMBER: 03909932

PATENT NUMBER:

FILING DATE: 08/12/1997

ISSUE DATE:

DIANE RUSSELE, PARALEGAL ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS



NOVEMBER 17, 2003

PTAS

SHUMAKER & SIEFFERT, P.A. STEVEN J. SHUMAKER 8425 SEASONS PARKWAY, SUITE 105 ST. PAUL, MN 55125

UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231



* 7000527604*

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RECORDATION DATE: 11/14/2003

REEL/FRAME: 014128/0142

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

3M COMPANY (FORMERLY MINNESOTA MINING AND MANUFACTURING COMPANY), A CORPORATION OF THE STATE OF DELAWARE

DOC DATE: 10/13/2003

ASSIGNEE:

3M INNOVATIVE PROPERTIES COMPANY P.O. BOX 33427 ST. PAUL, MINNESOTA 55133-3427

SERIAL NUMBER: 08909932 PATENT NUMBER: 6362808

FILING DATE: 08/12/1997 ISSUE DATE: 03/26/2002

LAZENA MARTIN, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS





NOVEMBER 17, 2003

PTAS

SHUMAKER & SIEFFERT, P.A. STEVEN J. SHUMAKER 8425 SEASONS PARKWAY, SUITE 105 ST. PAUL, MN 55125 UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office
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RECORDATION DATE: 11/14/2003

REEL/FRAME: 014128/0146

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

3M INNOVATIVE PROPERTIES COMPANY

DOC DATE: 10/14/2003

ASSIGNEE:

IMATION CORP.

P.O. BOX 64898

ST. PAUL, MINNESOTA 55164-0898

SERIAL NUMBER: 08909932 PATENT NUMBER: 6362808

FILING DATE: 08/12/1997 ISSUE DATE: 03/26/2002

SHARON BROOKS, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS



NOVEMBER 17, 2003

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STEVEN J. SHUMAKER SHUMAKER & SIEFFERT, P.A. 8425 SEASONS PARKWAY, SUITE 105 ST. PAUL, MN 55125 UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office
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RECORDATION DATE: 11/14/2003

REEL/FRAME: 014128/0144

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

IMATION CORP.

DOC DATE: 10/22/2003

ASSIGNEE:

KODAK POLYCHROME GRAPHICS, LLC 401 MERRITT 7 NORWALK, CONNECTICUT 06851

SERIAL NUMBER: 08909932 PATENT NUMBER: 6362808 FILING DATE: 08/12/1997 ISSUE DATE: 03/26/2002

LAZENA MARTIN, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

PTO/SB/51 (07-03)

Approved for use through 01/31/2004. OMB 0851-0033

U.S. Patent and Trademark Office; U.S. DePARTMENT OF COMMERCE

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DEIONIC ADDITION DEGLADATION DV THE WILTER	Docket Number (Optional)	
REISSUE APPLICATION DECLARATION BY THE INVENTOR	1037-044US05	
I hereby declare that: Each inventor's residence, mailing address and citizenship are stated below reduced to be the original and first inventor(s) of in patent number	the subject matter which is described and claimed	
reissue patent is sought on the invention entitled ARRANGEMENT FO		
IMAGING SYSTEMS AND METHOD THEREFOR		
the specification of which	,	
is attached hereto.		
was filed on as reissue application number		
and was amended on		
(If applicable)		
I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.		
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or equivalent) listing the foreign applications.	or 365(b). Attached is form PTO/SB/02B (or	
I verily believe the original patent to be wholly or partly inoperative or invalid, below. (Check all boxes that apply.)	for the reasons described	
	·	
by reason of a defective specification or drawing.		
by reason of the patentee claiming more or less than he had the right to claim in the patent.		
D bu roppes of other arrays		
by reason of other errors.		
At least one error upon which reissue is based is described below. If the reiss reissue, such must be stated with an explanation as to the nature of the broad	ue is a broadening	
Claims 8-36 recite more than the patentee We have learned that the subject matter o internally to produce a commercial produc one year prior to the filing date of the	has a right to claim. f claims 8-36 was used t distributed more than	
	•	

[Page 1 of 2]
This collection of information is required by 37 CFR 1.175. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1459, Alexandria, VA 22313-1450.

Approved for use through 01/31/2004. OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Docket Number (Optional) (REISSUE APPLICATION DECLARATION BY THE INVENTOR, page 2) 1037-044US05 All errors corrected in this reissue application arose without any deceptive intention on the part of the applicant. Note: To appoint a power of attorney, use form PTO/SB/81. Correspondence Address: Direct all communications about the application to: X Customer Number: 28863 OR . Firm or Individual Name Address Address City State Zip Country Telephone I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and Imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may Jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed. Full name of sole or first inventor (given name, family name) Christopher J Inventor's signature Date Residence Cltlzenship 1890 Jefferson Avenue United Mailing Address MN 55105 St. Paul, Full name of second joint inventor (given name, family name) Timothy A. Fischer Inventor's signature Residence 2255 Fieldstone Drive Citizenship United States Mailing Address Mendota Heights, MN 55120 name of third joint inventor (given name, family name) dence 0 Norell Avenue North States of America Mailing Address Stillwater, MN 55082

Additional joint inventors or legal representative(s) are named on separately numbered sheets forms PTO/SB/02A or 02LR attached hereto.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Reissue of: U

U.S. Patent No. 6,362,808

Inventors:

Christopher J. Edge, Timothy A. Fischer and William A. Rozzi

Issued:

March 26, 2002

DECLARATION OF CHRISTOPHER J. EDGE UNDER 37 C.F.R. 1.132

- I, Christopher J. Edge state as follows:
- 1. I am an employee of Kodak Polychrome Graphics, LLC, the assignee of U.S. Patent No. 6,362,808.
- 2. I was previously employed by Imation Corp. and Minnesota Mining & Manufacturing Company (3M). Kodak Polychrome Graphics, LLC purchased certain businesses of Imation Corp. relating to the U.S. Patent No. 6,362,808 in 2002, which businesses Imation Corp. obtained by way of a spin-off from 3M in 1996.
 - 2. I am also a co-inventor in the above-referenced patent.
- 3. I have become aware that, more than one year prior to the effective filing date of the above-referenced patent, July 6, 1997, color correction tables were prepared according to certain aspects of the methods of claims 8-36 of the above-referenced patent and commercially distributed to customers of 3M. In particular, I believe that the commercially distributed color correction tables were prepared by generating L*a*b* values for source and destination CMYK values, iteratively reducing differences between the L*a*b* values by adjusting the destination K values and setting the destination CMY values to zero, iteratively reducing differences between the L*a*b* values by adjusting the destination CMY values and keeping the K values substantially fixed at the determined levels, and generating a color map based on both of the

iterative difference reductions. The resulting tables were recorded on CD-ROM discs and distributed to customers for use with color proofing systems.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

By:

Date:

1/14/04

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Christopher J. Edge
Kodak Polychrophe Graphics, LLC